

THE CENTRAL DEPOSITORY COMPANY OF PAKISTAN LIMITED
SCHEDULE OF FEES
FOR INVESTOR ACCOUNT SERVICES

| S. # | Name | Rate | Basis | Levied on | COLLECTION | |
|---|-----------------------------------|---|---|--|---|--|
| | | | | | When | Mode |
| 1a. | Initial Deposit Fee | | (Note 1) | | | |
| | - for Shares | Re. 0.01 | Per share deposited | Investor Account Holder (Individuals as well as other than Individuals) | To be collected in advance | Cash/P. O./D.D/ Credit Voucher/Cheque |
| | - for Redeemable Securities | Nil | - | | | |
| | - for WAPDA Bonds** | Nil | - | | | |
| | - for Units*** | Re. 0.01 | Per Unit deposited | | | |
| - For Rights Entitlements (unpaid rights) | Re. 0.01 | Per Right Entitlement deposited | | | | |
| 1b. | Securities Deposit Processing Fee | Re. 0.025 | Per share/ unit of Redeemable Securities /WAPDA Bond/Unit/ Right Entitlement deposited (Note 2) | Investor Account Holder (Individuals as well as other than Individuals) | To be collected in advance | -do- |
| 2. | Transaction Fee | | (Note 6) | | | |
| | - For Share | 0.004% | Market value per transaction**** | Investor Account Holder (Individuals as well as other than Individuals) | To be collected in advance | -do- |
| | - For Redeemable Securities | 0.004% | Market value per transaction**** | | | |
| | - For WAPDA Bond** | 0.004% | Market value per transaction**** | | | |
| | - for Units*** | 0.004% | Quoted or offer price per transaction**** | | | |
| - For Rights Entitlements (unpaid rights) | 0.004% | Market value per transaction**** | | | | |
| 3. | Custody Fee | | (Note 3) | | | |
| | - For Share | 0.006750% p.a. | Market value**** | Investor Account Holder (Individuals as well as other than Individuals) | To be collected in advance (calculated on daily basis) | -do- |
| | - For Redeemable Securities | 0.0056250% p.a. | Market value**** | | | |
| | - For WAPDA Bond** | 0.0056250% p.a. | Market value**** | | | |
| | - for Units*** | 0.0056250% p.a. | Quoted or offer price**** | | | |
| | - On Blocked Securities | 0.002250% p.a. | Market value**** | | | |
| | - For Major Shareholders | 0.002250% p.a. | Market value**** | | | |
| - For Rights Entitlements (unpaid rights) | Nil | - | | | | |
| 4. | Annual Fee | | | | | |
| | - For Individuals | Rs. 375 † | Fixed annually | Investor Account Holder (Individuals as well as other than Individuals) | Annually in advance | -do- |
| - For other than Individuals | Rs. 2,000 | per account | | | | |
| 5. | Redemption Fee | | | | | |
| | - For Units*** | Nil | - | - | - | - |
| 6. | Withdrawal Fee | | (Note 4) | | | |
| | - For Share | Re. 0.10 | Per share | Investor Account Holder (Individuals as well as other than Individuals) | To be collected in advance | -do- |
| | - For Redeemable Securities | Rs. 50 | Per unit of For Redeemable Securities | | | |
| | - For WAPDA Bond** | Rs. 50 | Per WAPDA Bond** | | | |
| | - for Units*** | Nil | - | | | |
| - For Rights Entitlements (unpaid rights) | Re. 0.10 | Per Right Entitlement | | | | |
| 7. | Rights Subscription Request Fee | Re. 0.0020 | Per Right Entitlement subscribed (Note 5) | Investor Account Holder (Individuals as well as other than Individuals) | To be collected in advance | Cash/P. O./D.D/ Credit Voucher/Cheque |
| 8. | Account Statement Fee | Rs. 50 | Per statement in physical form | Investor Account Holder (Individuals as well as other than Individuals) | Upon request | Cash |
| 9. | Pledge Call Fee | | | | | |
| | - For Share | 0.006% | Market value per transaction**** | Investor Account Holder (Individuals as well as other than Individuals) | To be Collected in Advance | Cash/P.O/D.D/Credit Voucher/Cheque |
| | - For Redeemable Securities | 0.006% | Market value per transaction**** | | | |
| | - For WAPDA Bond** | 0.006% | Market value per transaction**** | | | |
| | - for Units*** | 0.006% | Market value per transaction**** | | | |
| - For Rights Entitlements (unpaid rights) | Nil | Quoted or offer price per transaction**** | | | | |
| 10. | DSS Fee | 0.1% | Transaction Value (Note 7) | Investor Account Holder (Individuals as well as other than Individuals who have subscribed to DSS) | To be collected in advance | Online Transfers/ Pay Order/ Demand Draft/ Credit Voucher/ Cheque |

In case of TFCs, unit of TFC as defined by The Pakistan Stock Exchange Limited.

** Also include loan stock, bonds, Sukuk and other instruments creating or acknowledging indebtedness by or on behalf of a government, central bank or public authority (Government and public debt securities). The denomination of each WAPDA Bond is Rs 5,000 as prescribed by WAPDA.

*** Units of open-end mutual funds

**** Closing market value of last trading session of every trading day at the Pakistan Stock Exchange Limited. Basis for calculating the fee for unlisted securities shall be the face value of such securities.

Notes:

1. Initial Deposit Fee owing to any privatization by the Government of Pakistan shall be 50% of applicable fee for Privatization Commission and State Bank of Pakistan. This fee shall not exceed Rs. 50 million per deposit request.
 2. Subject to a minimum charge of Rs. 20 per security. Courier charges shall be recovered from the Investor-Accountholder on actual basis. Securities Deposit Processing Fee owing to any privatization by the Government of Pakistan shall be nil for Privatization Commission and State Bank of Pakistan. This fee shall not exceed Rs. 50 million per deposit request.
 3. Closing market value of last trading session of every trading day at The Pakistan Stock Exchange Limited Basis for calculating custody fee for un-listed securities shall be the face value of such securities. Basis for calculating custody charges shall be the quoted or offer price of units prevailing on every trading day. Reduced custody fee is charged on Book-entry Securities remain under blocked position in the IAS Accounts in the CDS pursuant to the directive of statutory or governmental body or those held by State Bank of Pakistan on behalf of Government of Pakistan. Custody fee shall be waived in full where the Issuer goes under Liquidation (except voluntarily), Receivership or court-appointed Managership or equivalent form of Administration. Custody fee shall be charged at a reduced rate in respect of Book-entry Securities held in the CDS in blocked status pursuant to any statutory or regulatory requirements and to the major shareholders of any Issuer of CDS Eligible Securities holding 10% or more of the issued capital in a single Investor Account:
 4. Courier charges to be recovered from the Investor-Accountholder on actual basis on Redemption and Withdrawal of Units.
 5. The minimum and maximum fee per Right Subscription Request Transaction shall be Rs. 10 and Rs. 100,000 respectively.
 6. On all IAS Transactions - Levied on both delivery and receipt. The minimum and maximum fee shall be Rs.5 and Rs.50,000 respectively.
 7. The DSS Fee will be charged per transaction at the rate mentioned above subject to a minimum Fee of Rs. 2,500 per annum, to be charged in the following manner:
 - (a) For transactions made on Ready Market, the fee shall be charged on the basis of transaction value per transaction;
 - (b) For transactions made on Negotiated Deals Market (NDM), the fee shall be charged per transaction on the basis of transaction value or closing market value of last trading session of every trading day at The Pakistan Stock Exchange Limited, whichever is higher.
- † Subject to the condition that annual fee shall be waived for the first year of opening of Investor Account.

The above fees & charges are exclusive of all governmental taxes and levies which will be charged (where applicable) at the prescribed rate.

UPDATED: JULY 2019