

FEES AND DEPOSITS SCHEDULE @

SCHEDULE OF FEES						
S. No.	NAME	RATE	BASIS	LEVIED ON	COLLECTION	
					WHEN	MODE
2.	Transaction Fee - for Shares - for Redeemable Securities - for WAPDA Bonds ** - for Units*** - for Rights Entitlements (unpaid rights)	<u>0.0036%</u> <u>0.0036%</u> <u>0.0036%</u> <u>0.0036%</u> 0.0036% 0.004% 0.004% 0.004% 0.004%	(Note 2) Market value per transaction**** Market value per transaction**** Market value per transaction**** Quoted or offer price per transaction**** Market value per transaction****	Participant/Account Holder	Month end (calculated on daily basis)	P.O./Draft/Cheque
6.	Annual Fee for Eligible Security Issued Amount (Face Value) Annual Fee on CDS Eligibility of Units of open-end mutual funds <u>(Based on Net Assets in CDS on billing date)</u> <u>-Upto Rs. 50m</u> <u>-Above Rs. 50m to Rs. 200m</u> <u>-Above Rs. 200m</u>	Rs. 67,500 p.a. <u>Rs. 25,000 p.a.</u> <u>Rs. 50,000 p.a.</u> <u>Rs. 67,500 p.a.</u>	Fixed annually per eligible security (Note 5)	Issuer/Asset Management Companies	Annually in advance	P.O./Draft/Cheque
9.	Fresh Issue Fee - for Shares - for Units*** <u>- for Long-term Redeemable Securities</u> <u>- for Short term Redeemable Securities</u> - for Rights Entitlements (unpaid rights)	<u>0.144%</u> <u>0.144%</u> <u>0.16%</u> <u>0.16%</u> <u>0.08%</u> <u>0.04%</u> Re. 0.005	Issue price***** Issue price***** Issue price***** Per Right Entitlement credited (Note 6)	Issuer/Asset Management Companies	With Application	P.O./Draft/Cheque
17.	Sub-Account Opening Fee -Normal -Having IAS account	Rs. 400 p.a. Nil	Per Sub-Account opened and maintained (Note 14)	Participant	Annually in advance	P.O./Draft/Cheque
20	Minimum Fee	<u>Rs.25,000</u> <u>p.a.</u> Rs.50,000 p.a.	Annual billing or the minimum fee, whichever is higher (Note 12)	Participants/Account Holder	Year End	P.O./Draft/Cheque

Notes:

2. On all Inter-Participant/Account Holder Transactions - Levied on both delivery and receipt. In case where Participant (who is PCM or T&C for settlement and custody of all categories of Securities Brokers), Transaction fee shall be levied on delivery and receipt of all intra-Participant/Account Holder Transactions except for the Transactions carried out among the Sub-Accounts of same TO, T&SC, T&C (as the case may be) within the same

Participant/Account Holder. The minimum and maximum fee shall be Rs.5 and Rs.~~50,000~~25,000 respectively. However, Transactions fee shall not be levied on Delivery of Securities from/ to the leverage Account/ Sub-Account for determining the corporate action entitlements in accordance with the manner prescribed under the CDC Regulations.-

6. To be levied on right issue & new issue (including conversion of securities). Also to be levied on Transferee Company pursuant to merger and on Transferor Company pursuant to demerger. In case of Units, this fee shall be applicable on conversion of a closed-end fund to an open-ended scheme. The minimum fee shall be Rs.10,000 and maximum fee shall be ~~Rs.25,000,000~~Rs.35,000,000 for shares & units-. In case of Rights Entitlements (unpaid rights), the minimum fee shall be Rs.10,000 and maximum fee shall be Rs.1,000,000. Fresh Issue Fee is also levied on bonus issue, to be calculated at market price where the minimum fee shall be Rs. 10,000 and the maximum fee shall be Rs. 500,000. Fresh Issue fee in respect of Redeemable Securities will be charged at a prevailing rate on the basis of following criteria:

a) In case of induction of any Long-term Redeemable Securities by an Issuer where none of the other securities of such Issuer are inducted in the CDS or where only ordinary shares are inducted in the CDS but no Redeemable Securities, the maximum fee shall be ~~Rs.2,500,000~~Rs.5,000,000.

~~b) In case of induction of Redeemable Securities by an issuer whose ordinary shares are inducted in the CDS but no Redeemable Securities are inducted, the maximum fee shall be Rs.2,500,000;~~

~~e)b) In case of induction of any subsequently issued Long-term Redeemable Securities by an issuer whose previously issued Redeemable Securities are/were inducted in the CDS, the maximum fee Rs.1,000,000; and~~

~~d)c) In case of induction of any short term redeemable securities by an issuer (including securities issued by Pakistan Mortgage Refinance Company Limited (PMRC) irrespective of short term and long term redeemable securities) in the CDS, the maximum fee shall be Rs.500,000; and~~

~~e)d) The minimum Fresh Issue fee shall be Rs.10,000 in all above cases.~~

12. This will be applicable on the differential amount where annual billing is less than ~~Rs.25,000~~Rs.50,000 p.a.

14. This fee will be waived to those Sub-Account holders maintaining Investor Accounts, provided that all the Joint Holder(s) are same in the Sub-account and Investor Account. Subject to the condition that the fee shall be waived for the first year of opening of Sub-Account.

UPDATED: SEPTEMBER 2021