



Subject: Regulatory Compliance - RTA Reconciliation for HYE and FYE

Ref # CDC-CSS-156/2021

October 05, 2021

Dear Issuer / R/TA,

This is with pertaining to the Regulation 13.7.1 of the CDC Regulations, which requires the issuers of securities inducted in **Central Depository System (CDS)** to provide confirmation of CDC Nominee Holding of their respective security as per the record in their Member Register.

- As on last day of sixth month of their Financial Year, latest by 10th business day after the end of their half year.
- As on last day of Financial Year, latest by end of 4th month from the end of Financial Year, duly verified by the external auditors.

In view of the above, you are requested to provide the above reconciliation report through Central Depository System as per the Procedure for electronic submission of RTA reconciliation report to ensure the timely compliance of the aforementioned Regulation.

For details, you may refer to the Standard Operating Procedure for RTA Reconciliation on the link below:

<https://www.cdcpakistan.com/businesses/central-depository-system/procedures-manuals/>

We would appreciate your timely submission of the required reconciliation which would ensure smooth operation and avoid non-compliance of CDC Regulations.

Should you have any queries or concerns, please feel free to contact us on 0800-23275 (CDCPL) or at info@cdcpak.com

Regards,

Farooq Ahmed

Senior Manager - Operations & Customer Support Services