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N O T I C E

To: - The Chief Executive Officer – A.S. Securities (Private) Limited

CC: - The Director/HOD (PRDD-SMD), Securities and Exchange Commission of Pakistan
- The Executive Director/HOD (MSRD-SMD), Securities and Exchange Commission of Pakistan
- The Chief Executive Officer, Pakistan Stock Exchange Limited
- The Chief Executive Officer, National Clearing Company of Pakistan Limited
- CDS Elements – Account Holders/ Participants/ Eligible Pledges
- The Sub-Account Holders of the Participant
- CDC Website

From: Hammad Ali Faisal
Chief Compliance Officer

Ref no.: CDC/C/161/2022

Date: September 23, 2022

Subject: **Notice in respect of A.S. Securities (Private) Limited to the CDS as a Participant**

Further to our notice no. CDC/C/072/2020 dated March 27, 2020.

This is to notify that **A. S. Securities (Private) Limited** (the “Participant”) has now complied with Regulation 6.8.2 of the CDC Regulations, CDC has therefore decided under Regulation 15.6.2 of the CDC Regulations that suspension imposed due to non-compliance of Regulation 6.8.2 of the CDC Regulations shall be removed **with immediate effect**.

However, as the Participant has not yet removed the cause of Restrictions imposed vide our notice no. CDC/LCR/296/2018 dated October 16, 2018 in consequence of switching-off the trading terminals of the Participant by Pakistan Stock Exchange vide their notice no. PSX/N-6081 dated October 16, 2018. Therefore, Restrictions on the admission of the Participant to the CDC in respect of its CDS Participant Account ID no. A06114 shall remain in force till the cause of such Restriction is also removed.

Regards,